09-06-01

Express Mail No.: <u>EL 501 641 450 US</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Chedgey & O'Reilly

Application No.: 09/742,255

Group Art Unit: 2121

Attorney Docket No.: 10734-003-999

Filed: December 20, 2000

Examiner:

For:

SYSTEM AND METHOD FOR

COMPUTER-AIDED GRAPH-

BASED DEPENDENCY

ANALYSIS

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed March 2, 2001, submitted herewith are the following documents: (i) Declaration executed by the inventors; (ii) Power of Attorney executed by Assignee; (iii) copy of the Notice; (iv) Request to Establish Small Entity Status; and (v) Petition for Extension of Time. An assignment of the entire interest in this application is submitted under separate cover with the Box Assignment for recording.

The fee for the submission of this response as a small entity is \$65.00. Please charge the above fee and any other fees that may be required to Pennie & Edmonds LLP's Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,

Date September 4, 2001

Garland T. Stephens

(Reg. No. 37,242)

PENNIE & EDMONDS LLP 1155 Avenue of the Americas New York, New York 10036-2711

(212) 790-9090

Enclosures



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Attorney Docket No.: 10734-003-999

REQUEST TO ESTABLISH SMALL ENTITY STATUS

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

It is respectfully requested that the above-identified application be accorded small entity status. Headway Research Limited, the assignee of this application, meets the requirements for small entity status recited in 37 CFR § 1.27(a).

Respectfully submitted,

Date September 4, 2001

Garland T. Stephens

(Reg. No. 37,242)

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE

Washington, D.C. 2023I www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/742,255

12/20/2000

Christopher Chedgey

10734-003-999

CONFIRMATION NO. 8068

20583 PENNIE AND EDMONDS 1155 AVENUE OF THE AMERICAS NEW YORK, NY 100362711



FORMALITIES LETTER
OC000000005815293

Date Mailed: 03/02/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 COPY TO BE RETURNED WITH RESPONSE

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